The involvement of cancer patients in the four stages of decision-making preceding continuous sedation until death: A qualitative study

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Abstract
Background: Involving patients in decision-making is considered to be particularly appropriate towards the end of life. Professional guidelines emphasize that the decision to initiate continuous sedation should be made in accordance with the wishes of the dying person and be preceded by their consent.
Aim: To describe the decision-making process preceding continuous sedation until death with particular attention to the involvement of the person who is dying.
Design: Qualitative case studies using interviews.
Setting/participants: Interviews with 26 physicians, 30 nurses and 24 relatives caring for 24 patients with cancer who received continuous sedation until death in Belgium, the United Kingdom and the Netherlands.
Results: We distinguished four stages of decision-making: initiation, information exchange, deliberation and the decision to start continuous sedation until death. There was wide variation in the role the patient had in the decision-making process. At one end of the spectrum (mostly in the United Kingdom), the physician discussed the possible use of sedation with the patient, but took the decision themselves. At the other end (mostly in Belgium and the Netherlands), the patient initiated the conversation and the physician’s role was largely limited to evaluating if and when the medical criteria were met.
Conclusion: Decision-making about continuous sedation until death goes through four stages and the involvement of the patient in the decision-making varies. Acknowledging the potential sensitivity of raising the issue of end-of-life sedation, we recommend building into clinical practice regular opportunities to discuss the goals and preferences of the person who is dying for their future medical treatment and care.

Keywords
Patient participation, decision-making, patient-centred care, continuous sedation until death, palliative sedation, qualitative research

What is already known about the topic?
• Involving patients in making decisions about their own treatment and care has been recognized as a cornerstone of patient-centred care and is considered to be particularly appropriate towards the end of life because end-of-life decisions are probably even more preference-sensitive.

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Introduction

Patient participation in decision-making is considered to be particularly appropriate towards the end of life because end-of-life decisions are often preference-sensitive. Studies have suggested that although a majority of people with limited life expectancy prefer a shared or active role in decision-making, their physicians and those close to them are frequently unaware of their preferences. One of the most debated end-of-life practices is palliative sedation, particularly when it is used continuously until death. It entails the use of medication intended to induce a state of decreased consciousness until death to relieve the burden of symptoms that cannot be controlled adequately by conventional palliative treatment. Guidelines emphasize that the decision to initiate sedation should be made in accordance with the wishes of the patient and be preceded by their consent or the consent of a surrogate decision-maker if they lack decision-making capacity. Empirical studies have shown, however, that patient consent is not always obtained or sought.

Previous research has shown that continuous sedation until death is practiced differently in different countries. The international UNBIASED study showed that in the United Kingdom, the use of sedation is typically described as a gradual process involving increasing the dose in the context of symptom management, rather than as a deliberate planned event. In contrast, Belgian clinicians predominately described it as an act of deep sedation from the start, emphasizing the importance of it being in response to a patient’s request. Dutch clinicians emphasized that its use was a medical decision informed by the patient’s wishes after establishing the presence of a refractory symptom. This suggests that the practice of continuous sedation, the decision-making leading up to continuous sedation, and the extent to which the choices and preferences of patients are taken into account, may differ between countries. This study describes the decision-making process surrounding continuous sedation at the end of life in Belgium, the Netherlands and the United Kingdom, with particular attention to the role of patients.

Methods

This study is part of the UNBIASED project undertaken in the United Kingdom (UK), the Netherlands (NL) and Belgium (BE) and involved in-depth interviews with physicians, nurses and decedents’ relatives. The study was approved by research ethics committees as follows:

- United Kingdom: Leicestershire, Northampton and Rutland Research Ethics Committee 1, 10/H0406/57
- Belgium: Ghent University Hospital Ethics Committee, B670201010174
- The Netherlands: Erasmus MC Medical Ethical Research Committee, NL33327.078.10, v03.

Settings

To enable maximum variation in the cases studied, we explored the care of cancer patients who died in hospitals (oncology wards), palliative care unit (PCU) (in Belgium)
or hospices (in the UK and the Netherlands), and in the community (at home).

Participants and inclusion criteria for decedents

In all countries, senior clinical staff members identified eligible decedents: patients aged over 18 who had died of cancer and to whom sedating medications were administered continuously with the intention of decreasing awareness to alleviate otherwise uncontrollable symptoms (either physical or psychological/existential), and for whom the sedation was in place at the time of death. Nurses and physicians were invited to take part if they had been closely involved in the care of these patients and were interviewed about not more than three cases. If more than one physician or nurse was involved, all were interviewed where possible. Relatives were invited to participate via a letter and information sheet sent on behalf of the research team by the patient’s physician. Interviews took place as soon as possible after death, that is, within 12 weeks, to maximize recall. This article involves all complete cases (with at least one physician, one nurse and one relative interviewed) in order to obtain a comprehensive insight into the decision-making process.

Procedures

Interviews were semi-structured using an aide mémoire. Interviews focused on recollections of the care of the decedent, reasons for the use of sedation, its implementation and decision-making. Each participant gave written informed consent before taken part. The interviews were undertaken by trained interviewers and lasted approximately 60 min. Physicians and nurses could use the patient records if necessary to support them in their recollections but were asked to provide relevant information about the case in an anonymous manner. Interviews were audio recorded, transcribed and translated as required. Data collection was completed by the end of 2012.

Analysis

Qualitative analysis software (NVIVO 11) was used to organize the data. The coding procedure of the interviews strictly followed the methods of qualitative content analysis. A combined model of inductive and deductive coding was used, where deductive coding was based on Charles et al.’s key model of treatment decision-making (Table 1). Qualitative analysis software (NVIVO 11) was used to organize the data. Three researchers (L.R., K.C. and J.R.) independently analysed a first set of transcripts for concepts that were directly linked to the patient’s preferences for sedation and their role in decision-making. The codes were compared and discrepancies were discussed until agreement was reached. A coding tree was developed by L.R., K.C., L.D. and J.R. and agreed upon with all co-authors. All interviews were coded and quotes were selected on the basis of their being representative of the wider data and approved by all researchers. We followed the ‘Consolidation Criteria for Reporting Qualitative Studies’ (COREQ) guidelines to ensure rigour in our research.

Results

We studied all 24 complete patient cases (7 UK; 7 BE; 10 NL), involving interviews with 26 physicians (9 UK; 7 BE; 10 NL), 30 nurses (10 UK; 10 BE; 10 NL) and 24 relatives (7 UK; 7 BE; 10 NL). Table 2 provides an overview of the characteristics of the patients. Table 3 gives characteristics of the interviewees, showing that the majority of the clinicians (36 out of 56) were palliative care or hospice practitioners. Besides the three stages of decision-making as described in the model of Charles et al. (Table 1), the initiation phase was added as it was important to understand who initiated or raised the possibility of sedation. We were therefore able to distinguish four stages of decision-making: (1) the initiation phase to understand who initiated or raised the possibility of sedation; (2) the exchange of all necessary information; (3) the deliberation phase in which it was decided to use continuous sedation when necessary and (4) the decision to actually begin it. Table 4 gives an overview of the characteristics of the decision-making process in all three countries.

Table 1. Charles et al.’s model of treatment decision-making.

<table>
<thead>
<tr>
<th>Paternalistic</th>
<th>Shared decision-making</th>
<th>Informed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Information exchange</td>
<td>One-way: from doctor to patient, minimum necessary for informed consent</td>
<td>Two-way: doctor provides all medical information needed for decision-making, patient provides information about his or her preferences</td>
</tr>
<tr>
<td>Deliberation</td>
<td>Physician alone, or with other physicians</td>
<td>Physician and patient (plus potential others)</td>
</tr>
<tr>
<td>Decision</td>
<td>Physician</td>
<td>Physician and patient</td>
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Initiating the conversation

The initiation phase appeared to be an interplay between the medical team and the patient and could best
be understood as a continuum with, at the extremities, the initiative driven predominantly either by the patient or by the physician. When patients initiated it, they did so by indicating that their suffering had become unbearable and they no longer wanted to, or could, continue their treatment or even their life. Patients expressed this using such phrases as ‘I am ready to die’, ‘I have had enough’, ‘I can no longer bear it’ or ‘I am done’. Others expressed more explicit requests to ‘go to sleep’ or to ‘no longer wake up’. When a patient was no longer able to communicate, in all countries it was often the family who expressed what they believed to be the patient’s preferences.

In Belgium and the Netherlands, some patients requested euthanasia. This was often the starting point of a conversation about end-of-life preferences and the possible use of sedation:

We never spoke about the final stage of life and I found it difficult to start talking about it. And then two weeks before the end, he was so tired, he said, I don’t want this, I cannot go on, I want euthanasia. Well a week passed and then Dr X came here, and then he discussed palliative sedation, you go to sleep and you aren’t aware of anything. Well only his thumb went up … (The Netherlands, Case 12, Home, Relative)

In other situations, physicians initiated the conversation about the possible use of sedation, for instance when an acute exacerbation of symptoms that could not be managed in any other way was expected. During the course of the disease, physicians repeatedly discussed with the patient whether they were ‘still okay’ or if they could ‘still bear the pain’. Nurses also had an important role in initiating discussion about the possible use of sedation:

So then the option, palliative sedation actually became real, for me because it was obvious this is a major medical problem which can’t be solved in another way anymore and [...] the life expectancy suddenly becomes very short. He was in pain and he constantly sick, so he met the criteria of palliative sedation. And that possibility was therefore discussed at that moment. [...] They always were very difficult conversations because he did not really want to address those really big issues. (The Netherlands, Case 36, Community, Physician)
Information exchange

Once the conversation was initiated, it was usually the physician who summarized the situation and provided information to the patient and family. We distinguished two types of decision-making. In the first, mainly in the Netherlands and Belgium, the physician had a predominantly informative role, informing the patient about their disease progression and the possibility of using sedation and the circumstances under which it could be used. They then either hoped to come to a shared decision by further exploring the preferences of the patient or they left it to the patient to make an informed decision themselves. Where desired, these physicians gave advice but during the interviews they mainly stressed the importance of responding to patient’s specific requests and wishes or the fact that the final choice should lie with the patient, provided that the clinical conditions were fulfilled:

He pretended for a long time that everything was alright. But certainly the sedation was discussed towards the end, because what I can remember is that we did make the offer to him, like, to go to sleep, at a time when it would be really untenable. (The Netherlands, Case 21, Hospice, Physician)

In the second type of situation, mainly in the UK, the physician took the lead by proposing the possible use of palliative sedation to control symptoms and to relieve terminal suffering. In these cases, they aimed mainly to provide all the necessary information and then eventually to obtain the informed consent of the patient and/or the family:

Things were progressing … and at that time, he had got his pump in and they suggested, ‘Well, we’ll give him this drug that will help to calm him down, that he’s not afraid … that he can rest easy and he doesn’t get bad dreams and that sort of things’. (UK, Case 1, Community, Relative)

Deliberation and the decision to use continuous sedation until death

In all three countries, the possible use of sedation was usually discussed between the person who was dying, those close to them and the professional caregivers, which ultimately led to the consent and/or decision to use sedation. In some cases, there were difficulties in coming to a decision. This happened particularly in situations where patients or
their relatives ‘still had to get used to the idea’ or ‘were not yet ready to say goodbye’ or ‘there was basically no time at all to cope with any of it’. For example, although one patient (UK, case 2, Hospice) was according to the physician clearly in the dying phase, his wife was ‘really struggling’ and worried about him being ‘knocked out’:

The day that he got transferred to (Hospice), so while he was still on the oncology ward, erm, his wife was not coping, she was devastated at the idea that we were gonna knock him out, or put him to sleep, and that she won’t be able to speak to him again. […] And I can understand that question coming through. However, it became obvious once we’d assessed him later on that actually he was needing that. And her distress was understandable and was difficult, but I think, by the time I saw him, I think she’d probably changed to, you know, wanting us to do more for him … (UK, Case 2, Hospice, Physician)

In Belgium and the Netherlands, the discussion sometimes specifically focussed on the ‘choice’ between palliative sedation and euthanasia:

It was actually a completely chosen path and we knew where we were going. It depended only from how the patient then decided that they would evolve from ‘here I go to the euthanasia, or there I will go to palliative sedation’. And I had well informed her about it and she has consciously made that choice. (Belgium, Case 11, Community, Physician)

In cases of disagreement between patients and their relatives, physicians and nurses attempted to reconcile the two views. If this eventually proved impossible, physicians emphasized the importance of following the patient’s wishes since ‘they are the ones with pain’.

Decision phase – the moment to start continuous sedation until death

The involvement of patients in this phase was dependent whether the person who was dying was considered to have the capacity to take part in the final stages of the decision-making process or not. When competent, it was either they or the medical staff who took the final decision to begin palliative sedation. Patients in Belgium and the Netherlands indicated their readiness for the use of sedation in the later phases using phrases such as ‘it should happen today’ and ‘it is enough for me now’:

And the physician said ‘but we cannot decide it, that is up to you to decide when you want it. And my dad said ‘ah I may decide that?’ ‘Yes, of course’ said *** ‘you must decide for yourself’. And the world opened up for my dad and he said ‘if that’s how it is then I would like to be put to sleep as soon as possible’. (Belgium, Case 5, Palliative care unit, Relative)

Patients were often unable to contribute to the decision to commence continuous sedation either because of an acute exacerbation of symptoms, which is what had necessitated the use of sedation, or because they were very close to death and had already lost the capacity to participate in the decision-making. Where the physician initiated the use of sedation, the decision had generally been taken at an
earlier phase in anticipation of the moment when suffering would become unbearable. Some healthcare staff, mostly in the Netherlands, pointed out that the decision to commence continuous sedation is in the end a medical decision that physicians could take only if they ‘felt that it was inevitable’:

In some cases [...] you see the patient is deteriorating and more and more medication is needed. Then a stage comes where you do talk with each other about gosh what are we going to do next? Patients do generally put that forward themselves, but it remains a medical decision that always lies with the doctor. And it may very well be that the doctor does not agree with the request of the patient, for the simple reason that there are no refractory symptoms or other cases. [...] (The Netherlands, Case 12, Community, Nurse)

In contrast, most healthcare staff in the UK pointed to a gradual progression without a particular moment of decision-making:

We always start in a cautious way and build up rather than starting with a high dose and completely flattening somebody at the outset, and that can sometimes be difficult. [...] The family need to know that the intention is to review regularly and to be able to give an extra dose if necessary. (UK, Case 4, Hospice, Physician)

In other situations, family members had requested the use of sedation. For example, this was the case for a 30-year-old man with a melanoma who died in a Belgian hospital (Case 12). The patient had earlier told his wife that he wanted to die ‘in his sleep’, and that when he lost capacity and was suffering too much, she was to instruct the doctor to start the sedation. That is what eventually happened:

He actually said to me as well like: when you see that I am suffering too much, then you have to tell them that they should administer that. If I have to die, I rather die in my sleep he said because I do not … That he must not feel it. (Belgium, Case 12, Hospital, Relative)

Discussion and conclusion

Main findings

This study distinguishes four stages of decision-making: the initiation phase where the issue is raised, the exchange of all necessary information, the deliberation phase in which it is decided to use continuous sedation when it becomes appropriate and the decision to begin continuous sedation. Although the overarching goal of continuous sedation at the end of life was similar in all cases, there was considerable variation in the timing and the role played by the patient in the decision-making. At one end of the spectrum, decision-making was primarily clinical and physician-driven; the physician discussed the possible use of sedation with the patient but took the final decision him/herself. These cases were especially prevalent in the UK, where respondents reported a gradual process of sedation, from the provision of low doses of sedatives to the more rarely used continuous deep sedation. At the other end of the spectrum, the patient initiated the conversation about the use of sedation while the physician’s role was predominantly limited to evaluating whether, and when, the patient’s condition fulfilled the medical criteria. These cases were mostly from Belgium and the Netherlands, where patients were sometimes offered the ‘choice’ of sedation.

Strengths and limitations

The validity of this study was increased by deliberately sampling cases from three different care settings and three different countries using standardized criteria including a descriptive definition of the practice that was studied. In order to get a broad and detailed overview of each case, we included the recollections of physicians, nurses and relatives involved in the care of a particular person. Since preferences can change during the decision-making process, a retrospective assessment takes this possibility into account. Another strength is that we used the model of Charles et al.,24 which allowed us to scrutinize the different phases of decision-making and apply them to the process of continuous sedation, which is unprecedented. Limitations to this study should also be acknowledged. Our interview data were dependent on the subjective experiences and interpretations of the respondents. There is a small risk of recall bias, although this was limited in most of the cases by limiting the time between death and the interview to 3 months.

Discussion

A theoretical framework such as that of Charles et al.24 seems useful in exploring end-of-life decision-making, showing there are several approaches to the initial decision to start continuous sedation. Decision-making in all phases could be described as being paternalistic, shared or informed, but it sometimes changed between the different phases. For example, in some cases, the physician began the process with an informative approach but eventually took charge of the final decision to begin continuous sedation. Other studies have not described the decision-making process in such detail. In our study, the possible use of continuous sedation was usually discussed with all parties and ultimately led to the consent and/or decision to use sedation if necessary. The information exchange and deliberation phases in our study closely match the three-step model for shared decision-making for clinical practice developed by Elwyn et al.,26 in which they made a
distinction between ‘choice talk’ (making sure that patients know that different reasonable options are available), ‘option talk’ (providing more detailed information about the options) and ‘decision talk’ (considering preferences and deciding what is best). From the results of this study, it is possible to distinguish two types of decision, the decision about whether to use sedation and the decision about when to start sedation. In both types, respondents placed high value on the patient’s perspective, respecting their wishes, giving them explicit information about the implications and obtaining their consent. However, this is far from always the case. A recent Belgian population-based death certificate study showed that the decision to use continuous sedation was in 16.2% of all cases made without a request from or the consent of the person who was dying or their family.\textsuperscript{17}

Although clinical guidelines aim to support physicians in their decision-making and to promote best practice (e.g. the EAPC guideline for palliative sedation strongly encourages physicians to address end-of-life care preferences with all patients at risk of dying prior to sedation and to obtain their consent\textsuperscript{12,14,15}), they rarely state the extent to which patient preferences should be taken into account, and how to deal with a patient’s request for sedation. They do stress, however, the need for clinical indications for the use of sedation; in cases where this is the refractoriness of symptoms, a medical assessment by a clinical expert is required.\textsuperscript{12} Some guidelines and frameworks, like the Dutch and Belgian ones, add to this that continuous sedation can only be used in the context of unbearable suffering, judged primarily by the patient him or herself, which was often reflected in the Belgian and Dutch cases in our study. Belgian and Dutch respondents placed emphasis on the importance of responding to the patient’s request for relief of suffering, provided that the clinical conditions were fulfilled. In both countries, patients were sometimes provided with the choice between sedation and euthanasia (which is legal, provided due care criteria are met). Thus, although respondents frequently used terms related to key indications for continuous sedation, and the decision to begin it was guided mainly by the clinical condition of the patient, it can be hypothesized that interviewees in all three countries expressed views that may corresponded to medico-cultural and societal perspectives on the practice of sedation. Different concepts of what sedation should be used for and how it should be practiced may have framed the ways in which a patient’s preferences were elicited and the roles they were given in the decision-making process. Thus, the focus of decision-making seems to shift from the physician-centred medical criterion (refractoriness) in the UK to a more patient-centred perspective in Belgium and the Netherlands, where more emphasis is on the unbearableness of symptoms experienced by patients.\textsuperscript{29} It could be argued that in countries where euthanasia or physician-assisted suicide (PAS) are legal, open discussion of these and other ethically difficult end-of-life issues\textsuperscript{28,29} allow patients, their relatives and their physicians to be more open about discussing palliative sedation.\textsuperscript{30} Future research should further develop the evidence base for the role of legal and cultural context on end-of-life decision-making and should further focus on the effectiveness of sedation to ease refractory symptoms at the end of life.

**Conclusion**

Decision-making about continuous sedation goes through four stages and the involvement of the patient varies. Different conceptions of what sedation should be used for and how it should be practiced may have affected the role patients were given in the decision-making process. In order to be sensitive to a patient’s individual preferences while at the same time acknowledging the potential sensitivity of raising the issue of continuous sedation until death with people who are dying, we recommend building into clinical practice opportunities to regularly discuss with them their goals and preferences regarding future medical care and treatment.

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